2152

00/776 024

JUN 1 0 2004

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

		Application Number		09/1/0,034	
PH 87 2004 TRANSMITTA	L	Filing Date		February 1, 2001	
FORM (to be died for all correspondence after		First Named Inventor		Tonny Yu	
(to be seed for all correspondence after	initial filing)	Group Art Unit		2152	
PACEUS TO All CONTESPONDENCE and		Examiner Name		Unassigned	
Total Number of Pages in this Submission	5	Attorney Docket Num	ber	100103-000110US	
	ENCLOSURE	S (check all that apply)	***************************************		
Fee Transmittal Form Fee Attached	Assigment (for an App	•		After Allowance Communication to Group Appeal Communication to Board	
Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request	Petition Ro	elated Papers uting Slip (PTO/SB/69) panying Petition Convert to a Application		of Appeals and interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter	
Express Abandonment Request		ttorney, Revocation Correspondence	ष	Additional Enclosure(s) (please identify below):	
☐ Information Disclosure Statement	☐ Terminal D	isclaimer		PTO-1449 forms Confirmation postcard	
Certified Copy of Priority Document(s)	Small Entity	y Statement r Refund		DE051/5D	
Response to Missing Parts/	Pomarks			RECEIVED	

Technology Center 2100

Firm or Individual name

Signature

Charles J. Kulas, Reg. No. 35,809

Date

June 2, 2004

Incomplete Application

Response to Missing

Parts uner 37 CFR 1.52 or 1.53

CERTIFICATE OF MAILING OR TRANSMISSION

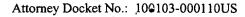
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Arlington, VA 22313-1450 on this date: <u>June 2, 2004</u>

Name (Print/Type) Megan A. Godsey

Signature Date June 2, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send fees and completed forms to the following address: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

) F





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tonny Yu

Art Unit : 2152

Serial No.: 09/776,034

Examiner: Unassigned

Filed

: February 1, 2001

Title

: USING AN INTERMEDIARY TO MANAGE UNWANTED ELECTRONIC

MESSAGES

RECEIVED

Commissioner for Patents P.O. Box 1450

JUN 1 0 2004

Alexandria, VA 22313-1450

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Copies of the cited U.S. Patent references listed on the attached PTO-1449 forms are not enclosed but can be provided upon request.

This filing is being made before receipt of an Office Action on the merits. Therefore, no fee is currently due.

Please apply any other appropriate charges or credits to Deposit Account No. 50-3000.

Respectfully submitted,

Date:June 2, 2004

Charles J. Kulas Reg. No. 35,809

CARPENTER & KULAS, LLP 1900 Embarcadero Road Suite 109 Palo Alto, CA 94303

Tel.: 650-842-0300 Fax: 650-842-0304

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

UIP	Please type a plu	us sign (+) inside this box	+
		•	

for form 1449A/PTO

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

MFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known					
Application Number	09/776,034				
Filing Date	February 1 ,2001				
First Named Inventor	Tonny Yu				
Group Art Unit	2152				
Examiner Name	Unassigned	-			
Attorney Docket Number	100103-001100US				

	U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	U.S. Patent Document Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	Α	6,732,157		GORDON et a	May 4, 2004			
	-		ļ					
			+					
			-					
	 		+			RECEIVED		
		-	-					
						JUN 1 0 2004		
						3011 1 0 2007		
					To	thnology Center 2100		
					10	chilology Certici 2100		
			<u> </u>					
			ļ					
		<u> </u>	1					
			-					
			+					
		· · · · · · · · · · · · · · · · · · ·	 					
	<u> </u>	<u> </u>		L		I		

	FOREIGN PATENT DOCUMENTS								
Examiner Cite	Cite	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Office ³	Number⁴	Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆	
•									
				·					
								•	

Examiner Signature	:	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

٠,

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PT	ro		Complete if Known			
			N COURT	Application Number	09/776,034		
			LOSURE	Filing Date	February 1, 2001		
STATEMENT BY APPLICANT				First Named Inventor	Tonny Yu		
				Group Art Unit	2152		
(use as many st	heets as n	ecessary)	Examiner Name	Unassigned		
Sheet	2	of	2	Attorney Docket Number	100103-001100US		

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					
ļ								
		DECEN/ED						
		RECEIVED						
		JUN 1 0 2004	· ·					
		Technology Center 2100						
		·						
			:					

Examiner	Date	
Signature	Considered	•

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.